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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No. *H*
0-106

In Re Application Of: **MUELLER et al.**

09993228

Serial No. Not Yet Assigned	Filing Date November 14, 2001	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
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Title: **DYNAMIC RULE AND/OR OFFER GENERATION IN A NETWORK OF POINT-OF-SALE TERMINALS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Supplemental Information Disclosure Statment (5 pp.);
PTO Form-1449 (8 pp.) and references cited therein (84); and
Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.

Signature

Dated: **December 3, 2001**

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I certify that this document and fee is being deposited on **December 3, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____
_____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

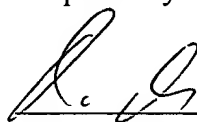
- [] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
- ☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.
9. ☐ I hereby certify:
- ☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
- ☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ Please accept payment of the fees due as indicated below:
- ☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

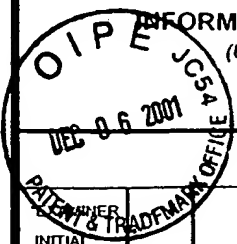
- ☐ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose
- ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- ☐ The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.
11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.
12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

December 3, 2001
Date

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

00-106

SERIAL NO.

Not Yet Assigned

MUELLER et al.

FILING

November 14, 2001

GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A	3,573,747	04/06/71	Adams et al.	340	172.5	
B	4,108,361	08/22/78	Krause	235	375	
C	4,323,770	04/06/82	Dieulot et al.	235	375	
D	4,494,197	01/15/85	Troy et al.	364	412	
E	4,500,880	02/19/85	Gomersall et al.	340	825.35	
F	4,669,730	06/02/87	Small	273	138 A	
G	4,677,553	06/30/87	Roberts et al.	364	412	
H	4,689,742	08/25/87	Troy et al.	364	412	
I	4,760,247	07/26/88	Keane et al.	235	454	
J	4,815,741	03/28/89	Small	273	138 A	
K	4,825,045	04/25/89	Humble	235	383	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
L	WO 97/46961	12/11/97	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

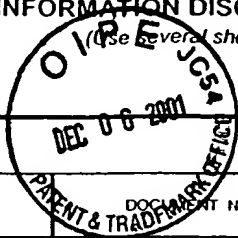
M	"CAPE TOWN", Reuters, November 8, 1979, Thursday PM Cylce
N	"SAVE THE MARK", Financial Times (London), February 1, 1983, Section I: Men & Matters at Pg. 12.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
O	4,839,507	06/13/89	May	235	381	
P	4,854,590	08/08/89	Jolliff et al.	273	138 A	
Q	4,859,838	08/22/89	Okiharu	235	383	
R	4,882,473	11/21/89	Bergeron et al.	235	380	
S	4,910,672	03/20/90	Off et al.	364	405	
T	4,922,522	05/01/90	Scanlon	379	95	
U	4,937,853	06/26/90	Brule et al.	379	96	
V	4,982,337	01/01/91	Burr et al.	364	479	
W	4,993,714	02/19/91	Golightly	273	138 A	
X	5,056,019	10/08/91	Schultz et al.	364	405	
Y	5,119,295	06/02/92	Kapur	364	412	

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Z	Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, December 23, 1985, Vol. 35, Pg. 6.
AA	"POS spectrum: a lottery looks to POS for growth", POS News, January 1989, Vol. 5, No. 7, Pg. 8.

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BB	5,177,342	01/05/93	Adams	235	379	
CC	5,216,595	06/01/93	Protheroe	364	412	
DD	5,223,698	06/29/93	Kapur	235	375	
EE	5,231,569	07/27/93	Myatt et al.	364	408	
FF	5,239,165	08/24/93	Novak	235	375	
GG	5,243,515	09/07/93	Lee	364	401	
HH	5,262,941	11/16/93	Saladin et al.	364	408	
II	5,274,547	12/28/93	Zoffel et al.	364	408	
JJ	5,283,731	02/01/94	Lalonde et al.	364	401	
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LL	5,297,031	03/22/94	Gutterman et al.	364	408	

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						YES	NO

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MM	Kuttner, Robert, "Computers May Turn The World Into One Big Commodities Pit", Business Week, September 11, 1989, Economic Viewpoint, Number 3123, Pg. 17.
NN	Schrage, Michael, "An Experiment in Economic Theory; Labs Testing Real market", The Record, November 26, 1989, Business, Pg. B01.

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OO	5,309,355	05/03/94	Lockwood	364	401	
PP	5,420,606	05/30/95	Begum et al.	345	156	
QQ	5,459,306	10/17/95	Stein et al.	235	383	
RR	5,504,475	04/02/96	Houdou et al.	340	825.35	
SS	5,572,653	11/05/96	DeTemple et al.	395	501	
TT	5,592,375	01/07/97	Salmon et al.	395	207	
UU	5,592,376	01/07/97	Hodroff	395	214	
VV	5,611,052	03/11/97	Dykstra et al.	395	238	
WW	5,615,269	03/25/97	Micali	380	49	
XX	5,621,201	04/15/97	Langhans et al.	235	380	
YY	5,632,010	05/20/97	Briechele et al.	345	1	

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ZZ	"Let's Play the Cash Register Receipts Lottery", The New York Times, December 25, 1990, Section 1, Pg. 30, Column 4, Editorial Desk
AAA	"Del Rosso, Laura "Marketel says it plans to launch air fare 'auction' in June"; Marketel International Inc. Travel Weekly, April 29, 1991; Vol. 50; No. 34; Pg. 1; ISSN: 0041-2082

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional)	Application Number
		00-106	Not Yet Assigned
		Applicant(s)	
		MUELLER et al.	
		Filing Date	Group Art Unit
		November 14, 2001	Not Yet Assigned
*EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EEE	Rossi, Laura, "Ticket-bidding Firm Closes Its Doors; Marketel International; Brief Article", Travel Weekly, March 12, 1992, Vol. 51, No. 21, Pg. 1, ISSN: 0041-2082.		
FFF	"Winn-Dixie/The Salvation Army Report Contribution For War Against Hunger", PR Newswire, June 10, 1993, Financial News Section.		
GGG	Jones, Jeanne, "Data Readers Streamline Management; Scanner Technology Aids Retailers As Well As Plants, Wholesalers", The Houston Post, June 26, 1994, Business Section, Pg. D1.		
HHH	Fiorini, Philip, "'No Place for Penney?' Smallest Coin Doesn't Make Cents to Some", USA Today, July 29, 1994, Pg. 1A.		
III	Smith, Alison, "Survey of UK Consumer Credit and Asset Finance", Financial Times, November 3, 1994, Pg. VI.		
JJJ	Andreoli et al., "Cash Machines Offer A Whole Lotto Money For Withdrawal...", Crain's Chicago Business, June 19, 1995, Section: News; Pg. 8.		
KKK	"Spain: BBV Launches New Card", Cards International, June 22, 1995, Pg. 5.		
LLL	Knippenberg, Jim, "Psst! Will Local Radio Empires Strike Back?" The Cincinnati Enquirer, Section: Tempo; July 23, 1995, Pg. F01.		
MMM	Hadley, Kimberly, "Pastors Praying Anti-arson Effort Will Burn Bias", The Nashville Banner, July 26, 1996, Pg. A13.		
NNN	Gapper, John, "NatWest Reports Rise in Bad Debt", Financial Times July 31, 1996, London Edition 3, News: UK Section, Pag 09.		
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PPP	Taylor, Paul, "Towards A Dream Market", Financial Times, (London), September 4, 1996, Section: Survey - FT IT; Pg. 03.		
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Singletary, Michelle, "Electronic World, Unchecked Problem? U.S. Move to Paperless Payments Raises Worries About Those Who Don't Use Banks", The Washington Post, March 4, 1997, Final Edition, Financial Section, Pg. C01.

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"Products and Services: Checkout Direct", Catalina Marketing Corporation, (<http://catalinamktg.com/proddir.htm>), download date: May 29, 1997.

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Riordan, Teresa, "Patents: A novel approach to making a better spermicide harks back to some old-fashioned methods", The New York Times, June 9, 1997; Section D; Pg. 2; Column 1; Business/Financial Desk.

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Nairn, Geoff, "The key to your identity: Falling costs will allow fingerprint verification to be widely used", Financial Times (London) July 15, 1997; Section: Technology; Pg. 12.

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"Classifieds2000: The Internet Classifieds", Classifieds2000, Inc. (<http://www.classifieds2000.com/cgi-cls/Display.exe?C2K+aboutus>), download date: August 6, 1997.

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"General Trading Floor Information and Terms Provided by tradingfloor.com", (<http://www.tradingfloor.com/info.htm>), download date: August 14, 1997.

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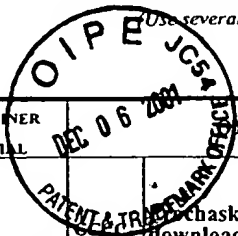
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FFFF "Welcome to ONSALE: Auction Supersite,: ONSALE, (<http://www.iaoauction.com/about.htm>),
download date: October 15, 1998.

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